

## Independent financial services tailored to fit your business model

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Moventum S.C.A. is a full service fund platform specialised in supporting independent financial advisors and institutional clients with the technology, tools and resources they need in order to deliver state of the art investment advice and first class investor service.

Moventum operations provide financial institutions and independent financial advisors (IFAs) with a technology platform that seamlessly integrates front office tools and back office systems to enable straight through business processing of mutual fund transactions. Our unique back office infrastructure provides customers with a consolidated statement of account holdings and IFAs with an aggregation of customer relations as well as settlement, clearing, record keeping and online account look up.

Moventum established a branch office in Germany in 2002 and Austria in 2008. Through these distribution agencies, Moventum actively markets its front office services, recruiting IFAs and training them the use of new office technologies and the marketing of investment products in Germany and Austria. In addition, Moventum focuses on new business initiatives on developing alliances with other financial institutions in a number of other countries that wish to support their brokers with an infrastructure that allows them to offer investment products to their clients. As a vendor of financial services to multiple organisations, Moventum creates economies of scale in its back office function, provides multiple product client statements (including country specific tax statements) and supports internet-based communications for its front office functions.

### Range of Services

#### Platform Services

- Consolidated and online account creation and management
- Multi-currency accounts
- Online order placement and monitoring
- Global investment universe with up to 9,000 funds from around 420 investment companies
- Ancillary products supported
- Investment funds
- Exchange traded funds
- Fixed term deposits
- Real time information on cash available
- Direct debits, saving and redemption plans
- Various fee/commission and trail models; tailor-made solutions
- Fund-based asset management: managed fund portfolios (fund-of-funds or insurance wrapper available)

#### Process Optimisation

- Fund procurement
- Multi-currency settlement
- Foreign-exchange dealing
- Monetary transaction management
- Proactive instigation and monitoring of incoming securities transfers
- Commission calculation, collection, payment and reporting
- Detailed and flexible investor account reporting system and periodic account statements (white labelling possibilities)

#### Value Added Services

- Online information portal with white labelling possibilities ('MoventumOffice')
- Welcome page with recent operational, funds or service news
- Real time view on cash and securities movements
- Access to market and fund data
- Sophisticated reporting tool, integrating performance and activity data (white labelling possibilities)
- Email with finalised customer letters for voluntary corporate actions
- Release and project management
- Output management
- Flexible access and rights management
- Online view access for end investors ('AccountView')
- Marketing support
- Operational support via Luxembourg based Help Desk
- Transfer Agency Service

## Technology Overview

MoventumOffice functions	Internationalised	Multi-tier software	Central rebalancing	Commissions systems
<ul style="list-style-type: none"><li>• New account opening including all prefilled electronic forms</li><li>• Order entry, queuing and sending</li><li>• Account inquiry and maintenance</li><li>• Commission tracking</li><li>• Reporting management and scheduling</li><li>• Integration of research, news and quotes</li></ul>	<ul style="list-style-type: none"><li>• Customizable by country</li><li>• Proven architecture</li><li>• Business component framework</li><li>• Authentication, authorization and security</li><li>• Multi-lingual</li><li>• Multi-currency</li><li>• Multi-company</li><li>• Business-to-business</li><li>• Access rules engine</li></ul>	<ul style="list-style-type: none"><li>• Web-based front end application</li><li>• Real time account creation</li><li>• Inquiries, reports and exports</li><li>• Filters and searches</li><li>• Portfolio details</li><li>• Account activity</li><li>• Positions, costs and values</li><li>• Realized/unrealized gains and losses</li><li>• Repository of forms and procedures</li><li>• Product information</li><li>• Links to research reports and tools</li></ul>	<ul style="list-style-type: none"><li>• Portfolio management</li><li>• Asset class balancing</li><li>• Re-allocation</li><li>• Automated trade generation</li><li>• Enhanced statements</li><li>• Performance reporting</li></ul>	<ul style="list-style-type: none"><li>• Trade commissions</li><li>• Service/advisory fees</li><li>• Trailer fees</li><li>• Splits and overrides</li><li>• Commission reporting</li><li>• Payout interface</li></ul>

## Who we are

Moventum is authorized as a Professional du Secteur Financier (PSF) and as a Luxembourg based and registered company subject to the requirements of the Luxembourg financial regulator – the Commission de Surveillance du Secteur Financier (CSSF). As a Professional du Secteur Financier Moventum is bound by Luxembourg banking secrecy laws.

### Deposit guarantee

Moventum is a member of the Association pour la Garantie de Depot, Luxembourg (AGDL).

## Shareholder Structure

On 10th May, 2000 Moventum was incorporated as a Luxembourg company by two partners with long-standing experience in the financial industry: Global Portfolio Advisors Ltd. and Banque de Luxembourg.

### Global Portfolio Advisors (GPA)

From its roots as the largest independent broker-dealer in the United States, GPA has evolved into a premier global service provider. After nearly three decades of expansion and change, GPA's comprehensive institutional service offerings remain a driving force in the world's rapidly evolving financial services marketplace.

### Banque de Luxembourg (BL)

The origins of BL go back as far as 1920 and its history is closely linked with the rise and the development of Luxembourg as a financial centre. BL has grown to be one of today's most important financial institutions in Luxembourg.

## Grand Duchy of Luxembourg - A financial Centre

### Luxembourg

Moventum's headquarters and depositary are based in Luxembourg. The success of the financial centre is rooted in the social and political stability of the Grand Duchy and on a modern legal and regulatory framework with a strong culture of investor protection.

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